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(To be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/248,639
Filing Date	MAY 3, 2001
First Named Inventor	SHELTON L. HARRISON
Art Unit	3628
Examiner Name	PEDRO KANOF
Attorney Docket Number	

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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	REVOCATION OF POWER OF ATTORNEY WAS FILED LAST SUMMER, AND I RECEIVED POSTCARD CONFIRMATION OF PTO RECEIPT. HOWEVER, THE REVOCATION WAS NEVER PUT IN THE FILE OR MADE EFFECTIVE. THUS, FILE IT AGAIN.	
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual	SHELTON L. HARRISON, JR
Signature	
Date	FEB 1, 2004

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Typed or printed	SHELTON L. HARRISON, JR
Signature	
Date	FEB 2, 2004

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS - SEND TO: Commissioner for Patents, Washington, DC 20231.

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**REVOCATION OF POWER OF  
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AND  
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/848639
Filing Date	MAY 3, 2001
First Named Inventor	SHELTON E. HARRISON
Art Unit	3628
Examiner Name	Pedro Kano P
Attorney Docket Number	

I hereby revoke all previous powers of attorney given in the above-identified application.

 A Power of Attorney is submitted herewith. I will serve as my own attorney on this matter.

OR

 I hereby appoint the practitioners associated with the Customer Number: \_\_\_\_\_ Please change the correspondence address for the above-identified application to: The address associated with Customer Number: \_\_\_\_\_

OR

 Firm or Individual Name: SHELTON E. HARRISON, JR.

Address: 6843 POPLAR PIKE

Address: \_\_\_\_\_

City: MEMPHIS State: TN Zip: 38119

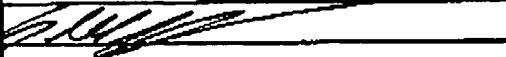
Country: USA

Telephone: 901-754-8879 Fax: 928-244-5182

I am the:

 Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)**SIGNATURE of Applicant or Assignee of Record**

Name: SHELTON E. HARRISON, JR.

Signature: 

Date: FEB. 1, 2004 Telephone: 310-500-5593

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

 \*Total of 1 forms are submitted

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Shelton E. Harrison, Jr.  
 Application No./Patent No.: 09/848639 Filed/Issue Date: May 3, 2001  
 Entitled: Electronic Bond and Guaranty Process and Business Method  
Shelton E. Harrison, Jr., a natural person  
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1.  the assignee of the entire right, title, and interest; or
2.  an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014322, Frame 0433, or for which a copy thereof is attached.

OR

B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
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3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Feb. 1, 2004

Date

310-500-5593

Telephone number

Shelton E. Harrison, Jr.

Typed or printed name



Signature

SEI/F

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECEMBER 30, 2003

PTAS



\*102488305A\*

SHELTON E. HARRISON, JR.  
6643 POPLAR PIKE  
MEMPHIS, TN 38119UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/27/2003

REEL/FRAME: 014222/0433  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SMITH, DAVID L.

DOC DATE: 06/09/2003

ASSIGNOR:  
SURE-T, LLC

DOC DATE: 06/09/2003

ASSIGNEE:  
HARRISON, JR., SHELTON E.  
6643 POPLAR PIKE  
MEMPHIS, TENNESSEE 38119SERIAL NUMBER: 09848639  
PATENT NUMBER:FILING DATE: 05/03/2001  
ISSUE DATE:



## Attachment C

## Patent Assignment Agreement

## ASSIGNMENT OF INVENTION AND PATENT APPLICATION:

Sure-T LLC, David L. Smith, and Shelton E. Harrison, Jr.

Sure-T LLC, a Delaware Limited Liability Company with a principal place of business at One Embarcadero Center, Fifth Floor, San Francisco California 94111, and David L. Smith, an individual domiciled in Tiburon, California (hereinafter "Assignors"), are owners of the entire right, title, and interest in U.S. Patent Application Serial No. 20010039524, (Serial No. 09/848,639), in the name of Shelton E. Harrison, Jr. and David L. Smith for "Electronic Bond & Guaranty Process and Business Method", and the invention described therein.

For good and valuable consideration, namely execution and performance of the settlement agreement and release of which this patent application assignment agreement is attached and made a part thereof, and other good and valuable consideration, receipt of which is hereby acknowledged, Assignors hereby sell and assign to Shelton E. Harrison, Jr., (hereinafter Assignee), an individual domiciled in California, and his successors, and assigns, the entire right, title, and interest in the aforesaid Patent Application Serial No. 20010039524 (Serial No. 09/848,639), and the invention described and claimed in it to be held and enjoyed by Assignee as fully and entirely as the same would have been held and enjoyed by Assignors if this assignment had not been made. Assignors further agree to promptly forward to Plaintiffs all documentation, communications or information pertaining to the Intellectual Property they receive during the first year after the execution of this Amendment.

DECLARATION OF FACTS: David L. Smith, named as a co-inventor in the above referenced Patent Application, hereby declares that his complete and sole inventive contribution with respect to the invention described in said Patent Application was the idea of repeatedly preauthorizing credit cards. Smith further declares and agrees that if all claims pertaining to cyclical credit card preauthorization are denied or removed from the Patent Application, or any continuations or divisions thereof, his name as an inventor must and shall be removed from the Patent Application or derivative application without further notice to or approval of Smith.

(Continued on Next Page)

In testimony whereof, ASSIGNEES have caused this assignment to be signed by their duly authorized representatives this 16 day of June, 2003

Sure-T LLC



Signature of Authorized Representative of Sure-T LLC

Notary Public Member

Title

David L. Smith



Individually

TABITHA CROWLEY  
State of NOTARY PUBLIC in and for the  
Cayman Islands  
County of Commission expires:

On this 9<sup>th</sup> day of June, 2003 before me

month year

personally appeared David L. Smith, who acknowledged himself to be the  
Notary Public of Sure-T LLC, a limited liability company, and who is personally known to me (or  
proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature  
on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
Signature of notary public